

# Foundations of Artificial Intelligence

## 14. Deep Learning

### Learning from Raw Data

Joschka Boedecker and Wolfram Burgard and  
Frank Hutter and Bernhard Nebel and Michael Tangermann



Albert-Ludwigs-Universität Freiburg

July 10, 2019

# Motivation: Deep Learning in the News

The New York Times

Science

WORLD U.S. N.Y. REGION BUSINESS TECHNOLOGY SCIENCE HEALTH SPORTS OPINION

ENVIRONMENT SPACE & COSMOS



THE NEW YORKER



## Scientists See Promise in Deep-Learning



A voice recognition program translated a speech given by Richard F. Raftoy  
Oration  
By JOHN MANDRO  
Published November 23, 2012

Using an artificial intelligence technique inspired by theories at the brain recognizes patterns, technology companies are reporting gains in fields as diverse as computer vision, speech recognition

NOVEMBER 25, 2012

## IS "DEEP LEARNING" A REVOLUTION IN ARTIFICIAL INTELLIGENCE?

BY GARY MARCUS



Can a new technique known as deep learning revolutionize artificial intelligence, as yesterday's front-page article at the New York Times suggests? There is good reason to be excited about deep learning, a sophisticated "machine learning" algorithm that far exceeds many of its predecessors in its abilities to recognize syllables and images. But there's also good reason to be skeptical. While the Times reports that "advances in an artificial intelligence technology that can recognize patterns offer

Introduction Past Years The 10 Technologies

### Deep Learning

With massive amounts of computational power, machines can now recognize objects and translate speech in real time. Artificial intelligence is finally getting smart.

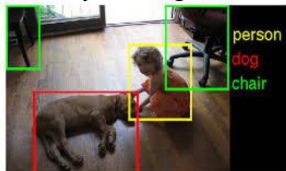
- 1 Motivation: Why is Deep Learning so Popular?
- 2 Representation Learning and Deep Learning
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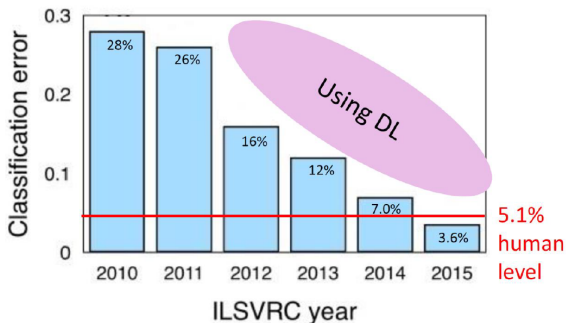
# Motivation: Why is Deep Learning so Popular?

- Excellent empirical results, e.g., in computer vision

Object recognition



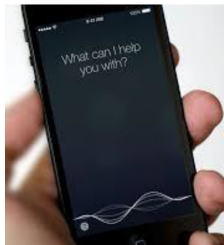
Self-driving cars



# Motivation: Why is Deep Learning so Popular?

- Excellent empirical results, e.g., in speech recognition

## Speech recognition



Auto-Translator

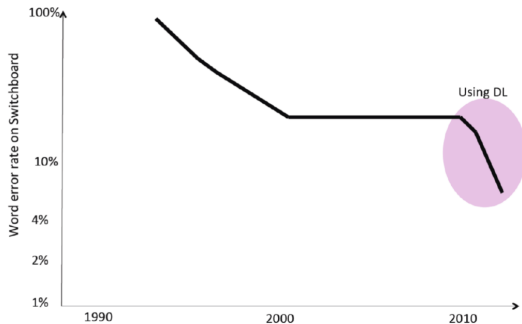


Image credit: Yoshua Bengio (data from Microsoft speech group)

# Motivation: Why is Deep Learning so Popular?

- Excellent empirical results, e.g., in reasoning in games

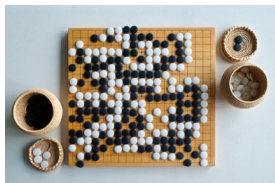
- Superhuman performance in playing Atari games

[Mnih et al, Nature 2015]



- Beating the world's best Go player

[Silver et al, Nature 2016]



# An Exciting Approach to AI: Learning as an Alternative to Traditional Programming

- We don't understand how the human brain solves certain problems
  - Face recognition
  - Speech recognition
  - Playing Atari games
  - Picking the next move in the game of Go
- We can nevertheless learn these tasks from data/experience



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- If the task changes, we simply re-train
- We can construct computer systems that are too complex for us to understand anymore ourselves. . .
  - E.g., deep neural networks have millions of weights.
  - E.g., AlphaGo, the system that beat world champion Lee Sedol
    - + David Silver, lead author of AlphaGo cannot say why a move is good
    - + Paraphrased: "You would have to ask a Go expert."

# An Exciting Approach to AI: Learning as an Alternative to Traditional Programming

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  - Babies develop an intuitive understanding of physics in their first 2 years
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# An Exciting Approach to AI: Learning as an Alternative to Traditional Programming

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  - Babies develop an intuitive understanding of physics in their first 2 years
  - Formal reasoning and logic comes **much** later in development
- Learning enables **fast reaction times**
  - It might take a long time to train a neural network
  - But predicting with the network is very fast
  - Contrast this to running a planning algorithm every time you want to act

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## Representation learning

“a set of methods that allows a machine to be fed with **raw data** and to **automatically discover the representations needed** for detection or classification”

# Some definitions

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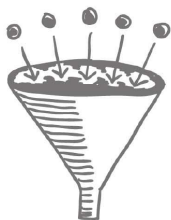
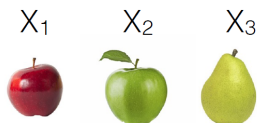
## Deep learning

“representation learning methods with **multiple levels of representation**, obtained by **composing simple but nonlinear modules** that each transform the representation at one level into a [...] higher, slightly more abstract (one)”

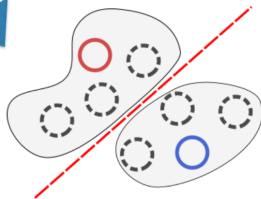
(LeCun et al., 2015)

# Standard Machine Learning Pipeline

- Standard machine learning algorithms are based on high-level **attributes** or **features** of the data
- E.g., the binary attributes we used for decisions trees
- This requires (often substantial) **feature engineering**



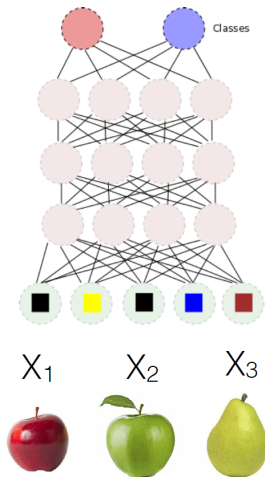
	Merkmale
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$X_2$	grün, 4 cm
$X_3$	grün, 10 cm



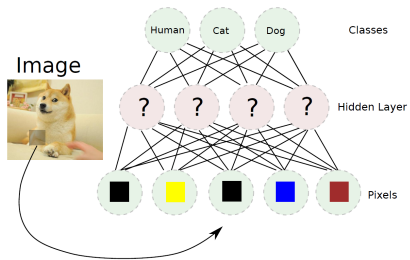


# Representation Learning Pipeline

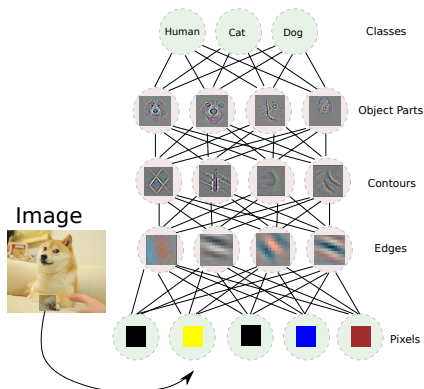
- Jointly learn features and classifier, directly from raw data
- This is also referred to as **end-to-end learning**



# Shallow vs. Deep Learning

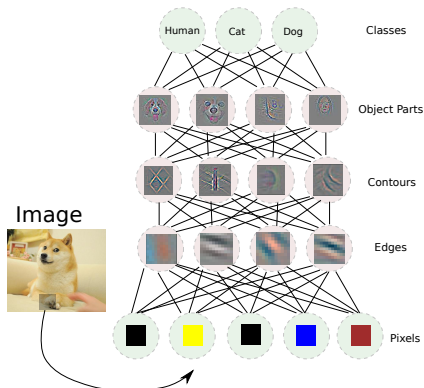


# Shallow vs. Deep Learning



- **Deep Learning:** learning a hierarchy of representations that build on each other, **from simple to complex**

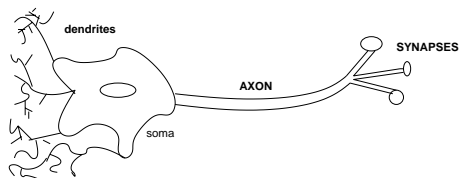
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- **Deep Learning:** learning a hierarchy of representations that build on each other, **from simple to complex**
- Quintessential deep learning model: **Multilayer Perceptrons**

# Biological Inspiration of Artificial Neural Networks

- **Dendrites** input information to the cell
- Neuron **fires** (has action potential) if a certain threshold for the voltage is exceeded
- Output of information by **axon**
- The axon is connected to dendrites of other cells via **synapses**
- Learning: adaptation of the synapse's efficiency, its **synaptical weight**



# A Very Brief History of Neural Networks

- Neural networks have a **long history**
  - 1942: artificial neurons (McCulloch/Pitts)
  - 1958/1969: perceptron (Rosenblatt; Minsky/Papert)
  - 1986: multilayer perceptrons and backpropagation (Rumelhart)
  - 1989: convolutional neural networks (LeCun)

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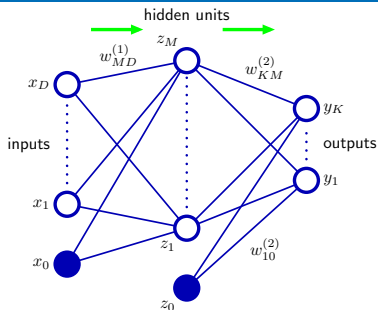
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- Alternative theoretically motivated methods outperformed NNs
  - Exaggerated expectations: “It works like the brain” (No, it does not!)
- Why the sudden success of neural networks in the last 5 years?
  - **Data**: Availability of massive amounts of labelled data
  - **Compute power**: Ability to train very large neural networks on GPUs
  - **Methodological advances**: many since first renewed popularization



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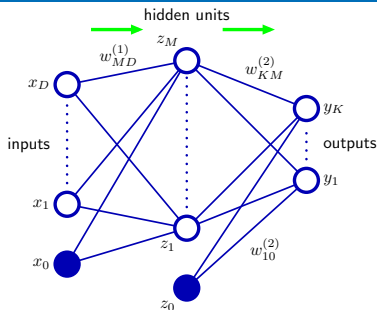
# Multilayer Perceptrons



[figure from Bishop, Ch. 5]

- Network is organized in **layers**
  - Outputs of  $k$ -th layer serve as inputs of  $k + 1$ th layer
- Each layer  $k$  only does quite simple computations:
  - Linear function of previous layer's outputs  $z_{k-1}$ :  $a_k = W_k z_{k-1} + b_k$
  - Nonlinear transformation  $z_k = h_k(a_k)$  through **activation function**  $h_k$

# Multilayer Perceptrons



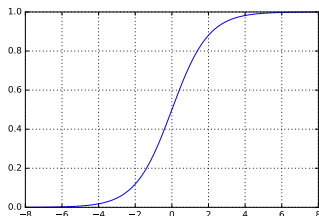
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- **Parameters/weights**  $w$  of the network: all  $W_k, b_k$ , flattened into a single vector

# Activation Functions - Examples

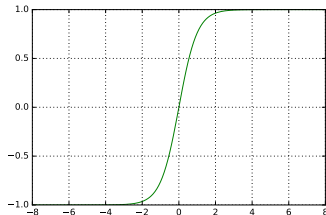
Logistic sigmoid activation function:

$$h_{\text{logistic}}(a) = \frac{1}{1 + \exp(-a)}$$



Logistic hyperbolic tangent activation function:

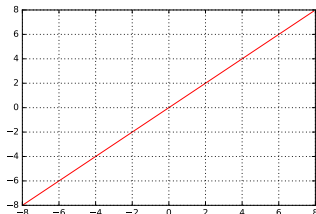
$$\begin{aligned} h_{\text{tanh}}(a) &= \tanh(a) \\ &= \frac{\exp(a) - \exp(-a)}{\exp(a) + \exp(-a)} \end{aligned}$$



# Activation Functions - Examples (cont.)

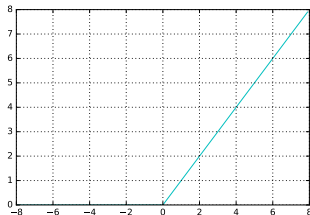
Linear activation function:

$$h_{linear}(a) = a$$



Rectified Linear (ReLU) activation function:

$$h_{relu}(a) = \max(0, a)$$



# Output layer and loss functions

- For regression:
  - Single output neuron with linear activation function

$$\hat{y}(x, w) = h_{linear}(a) = a$$

- Loss function: e.g., **squared error**:

$$L(w) = \frac{1}{2} \sum_{n=1}^N \{\hat{y}(x_n, w) - y_n\}^2$$

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- For classification:
  - Single output unit with, e.g., logistic activation function:

$$\hat{y}(x, w) = h_{logistic}(a) = \frac{1}{1 + \exp(-a)}$$

- Loss function: **negative log likelihood** of the data under the predictive distribution this specifies; (aka **cross entropy**):

$$L(w) = - \sum_{n=1}^N \{y_n \ln \hat{y}_n + (1 - y_n) \ln(1 - \hat{y}_n)\}$$

# Optimizing a loss / error function

- Given training data  $\mathcal{D} = \langle (x_i, y_i) \rangle_{i=1}^N$  and topology of an MLP
- Task: adapt weights  $w$  to minimize the loss:

$$\underset{w}{\text{minimize}} L(w; \mathcal{D})$$



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  - **Stochastic gradient descent (SGD)**
    - We can use small batches of the data, i.e.,  $L(w; \mathcal{D}_{batch})$
    - This yields approximate gradients quickly

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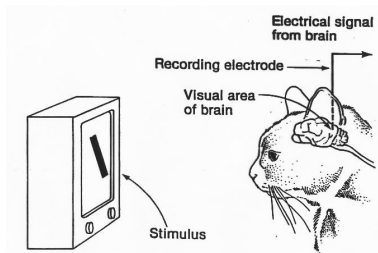
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# Historical context and inspiration from Neuroscience

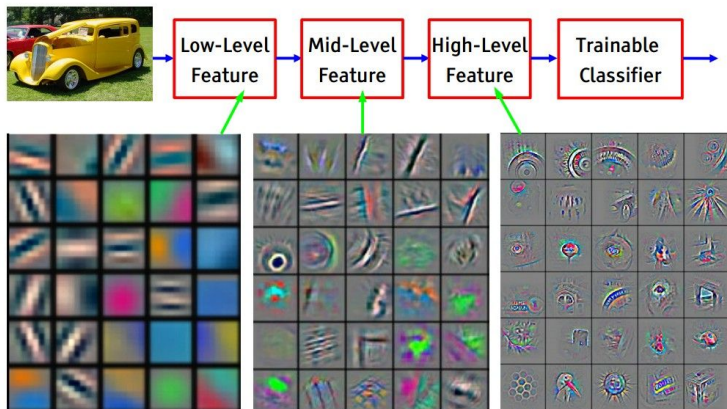
Hubel & Wiesel (Nobel prize 1981) found in several studies in the 1950s and 1960s:

- Visual cortex has feature detectors (e.g., cells with preference for edges with specific orientation)
  - edge **location** did not matter
- **Simple cells** as local feature detectors
- **Complex cells** pool responses of simple cells
- There is a **feature hierarchy**



# Learned feature hierarchy

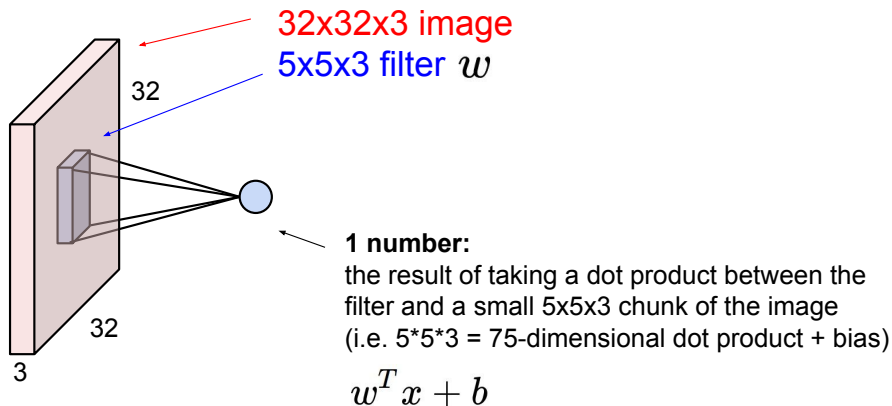
[From recent Yann LeCun slides]



Feature visualization of convolutional net trained on ImageNet from [Zeiler & Fergus 2013]

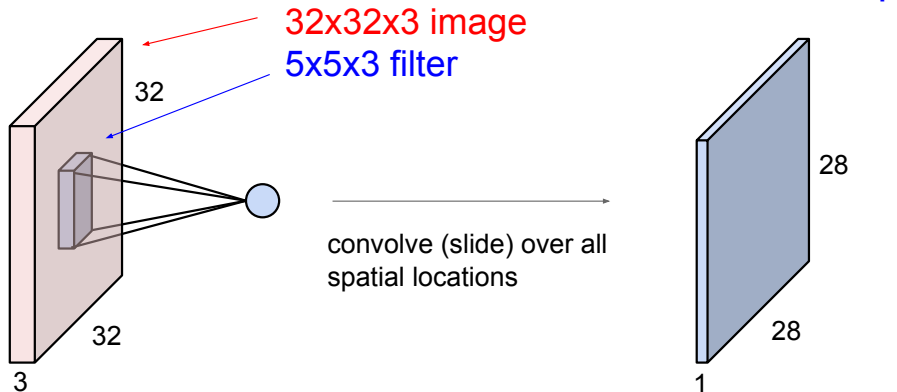
[slide credit: Andrej Karpathy]

# Convolutions illustrated



[slide credit: Andrej Karpathy]

# Convolutions illustrated (cont.)

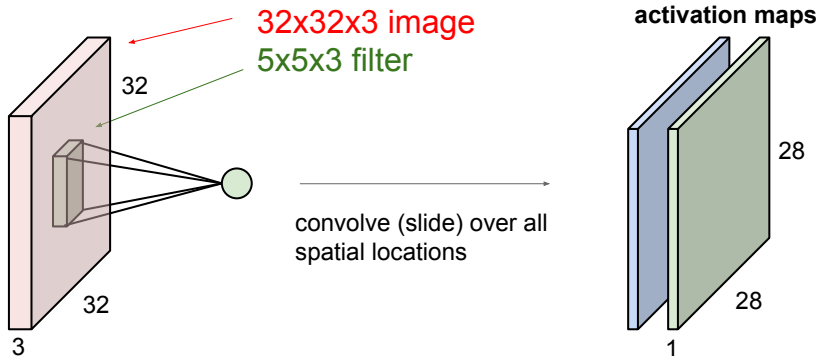


[slide credit: Andrej Karpathy]



# Convolutions – several filters

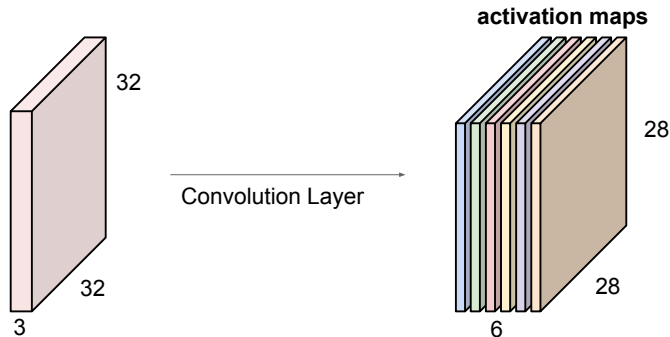
consider a second, **green** filter



[slide credit: Andrej Karpathy]

# Convolutions – several filters

For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:

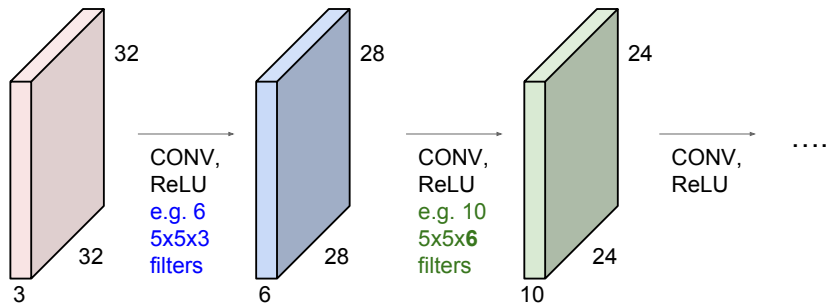


We stack these up to get a “new image” of size 28x28x6!

[slide credit: Andrej Karpathy]

# Stacking several convolutional layers

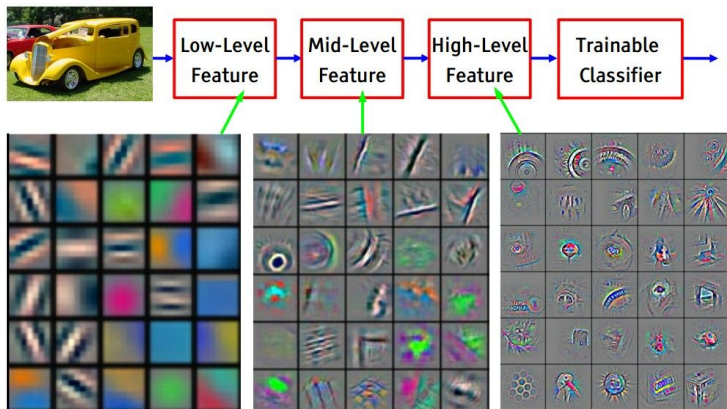
Convolutional layers stacked in a ConvNet



[slide credit: Andrej Karpathy]

# Learned feature hierarchy

[From recent Yann LeCun slides]



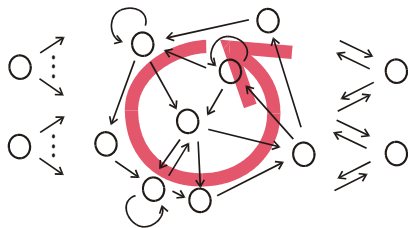
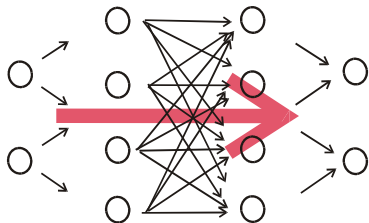
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# Feedforward vs Recurrent Neural Networks



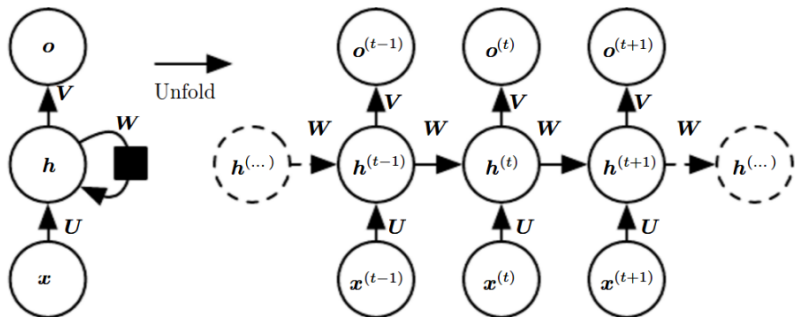
[Source: Jaeger, 2001]

# Recurrent Neural Networks (RNNs)

- Neural Networks that allow for **cycles** in the connectivity graph
- Cycles let information persist in the network for some time (state), and provide a **time-context** or (fading) memory
- Very powerful for processing **sequences**
- Implement **dynamical systems** rather than function mappings, and can approximate any dynamical system with arbitrary precision
- They are **Turing-complete** [Siegelmann and Sontag, 1991]

# Abstract schematic

With fully connected hidden layer:



[Goodfellow et al'2016]



# Sequence to sequence mapping

one to many

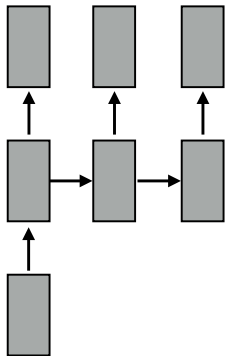
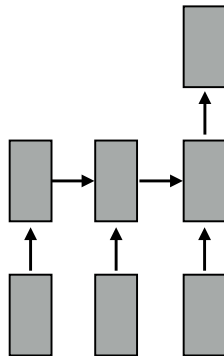


image caption  
generation

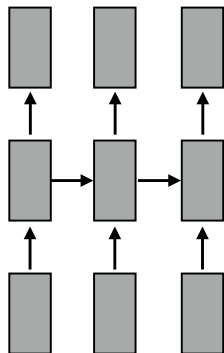
many to one



temporal  
classification

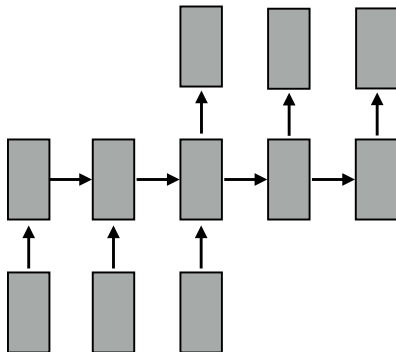
# Sequence to sequence mapping (cont.)

many to many



video  
frame labeling

many to many

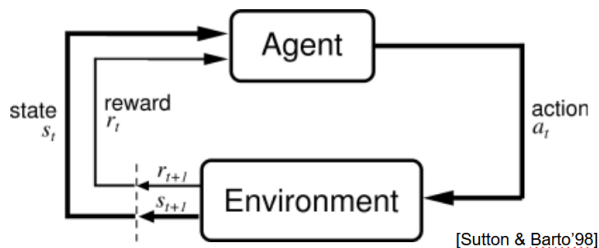


automatic  
translation

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# Reinforcement Learning



- Finding optimal policies for MDPs
- Reminder: states  $s \in \mathcal{S}$ , actions  $a \in \mathcal{A}$ , transition model  $T$ , rewards  $r$
- Policy: complete mapping  $\pi : \mathcal{S} \rightarrow \mathcal{A}$  that specifies for each state  $s$  which action  $\pi(s)$  to take

- **Policy-based deep RL**

- Represent policy  $\pi : S \rightarrow A$  as a deep neural network with weights  $w$
- Evaluate  $w$  by “rolling out” the policy defined by  $w$
- Optimize weights to obtain higher rewards (using approx. gradients)
- Examples: AlphaGo & modern Atari agents

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- Basically value iteration, but using a deep neural network (= function approximator) to generalize across many states and actions
- Approximate optimal state-value function  $U(s)$   
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→ Use deep neural networks to represent policy / value function / model



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  - Computer vision (from raw pixels)
  - Speech recognition (from raw audio)
  - Text recognition (from raw characters)
  - ...

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- Excellent results for perception tasks from raw data
  - Computer vision (from raw pixels)
  - Speech recognition (from raw audio)
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  - ...
- But all of this is bottom-up
  - No top-down reasoning
  - No logic, planning, etc.
  - Although there are some modern works on **memory mechanisms**, **attention**, etc.

# Deep Learning Focuses on Perception

- Excellent results for perception tasks from raw data
  - Computer vision (from raw pixels)
  - Speech recognition (from raw audio)
  - Text recognition (from raw characters)
  - ...
- But all of this is bottom-up
  - No top-down reasoning
  - No logic, planning, etc.
  - Although there are some modern works on **memory mechanisms**, **attention**, etc.
- Deep networks can be combined with more traditional methods
  - E.g., AlphaGo: combination with Monte Carlo Tree Search (MCTS)
  - Some work on combining logic with deep learning

# Adversarial examples: we're very far from human-level performance



$\mathbf{x}$

$y = \text{"panda"}$   
w/ 57.7%  
confidence

+ .007 ×



$\text{sign}(\nabla_{\mathbf{x}} J(\boldsymbol{\theta}, \mathbf{x}, y))$

"nematode"  
w/ 8.2%  
confidence

=



$\mathbf{x} + \epsilon \text{sign}(\nabla_{\mathbf{x}} J(\boldsymbol{\theta}, \mathbf{x}, y))$   
"gibbon"  
w/ 99.3%  
confidence

- Even for very strong networks we can find adversarial examples
  - By following the gradient of the cost function **w.r.t the input**

# Lecture Overview

- 1 Motivation: Why is Deep Learning so Popular?
- 2 Representation Learning and Deep Learning
- 3 Multilayer Perceptrons
- 4 Overview of Some Advanced Topics
- 5 Limitations
- 6 Wrapup**

# Summary: Why is Deep Learning so Popular?

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  - very scalable to big data
  - but beware: **not a silver bullet**

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- Allows end-to-end learning
  - no more need for many complicated subsystems
  - e.g., dramatically simplified Google's translation pipeline
- Very versatile/flexible
  - easy to combine building blocks
  - allows supervised, unsupervised, and reinforcement learning

# Lots of Work on Deep Learning in Freiburg

- Computer Vision (Thomas Brox)
  - Images, video
- Robotics (Wolfram Burgard)
  - Navigation, grasping, object recognition
- Neurorobotics (Joschka Boedecker)
  - Robotic control
- Machine Learning (Frank Hutter)
  - Foundations: optimization, neural architecture search, learning to learn
- Neuroscience (Tonio Ball, Michael Tangermann, and others )
  - EEG data and other applications from BrainLinks-BrainTools

→ Details when the individual groups present their research

# Summary by learning goals

Having heard this lecture, you can now . . .

- Explain the terms **representation learning** and **deep learning**
- Explain why deep learning is so popular
- Describe the main principles behind **MLPs**
- Discuss some **limitations** of deep learning
- On a high level, describe
  - Convolutional Neural Networks
  - Recurrent Neural Networks
  - Deep Reinforcement Learning