

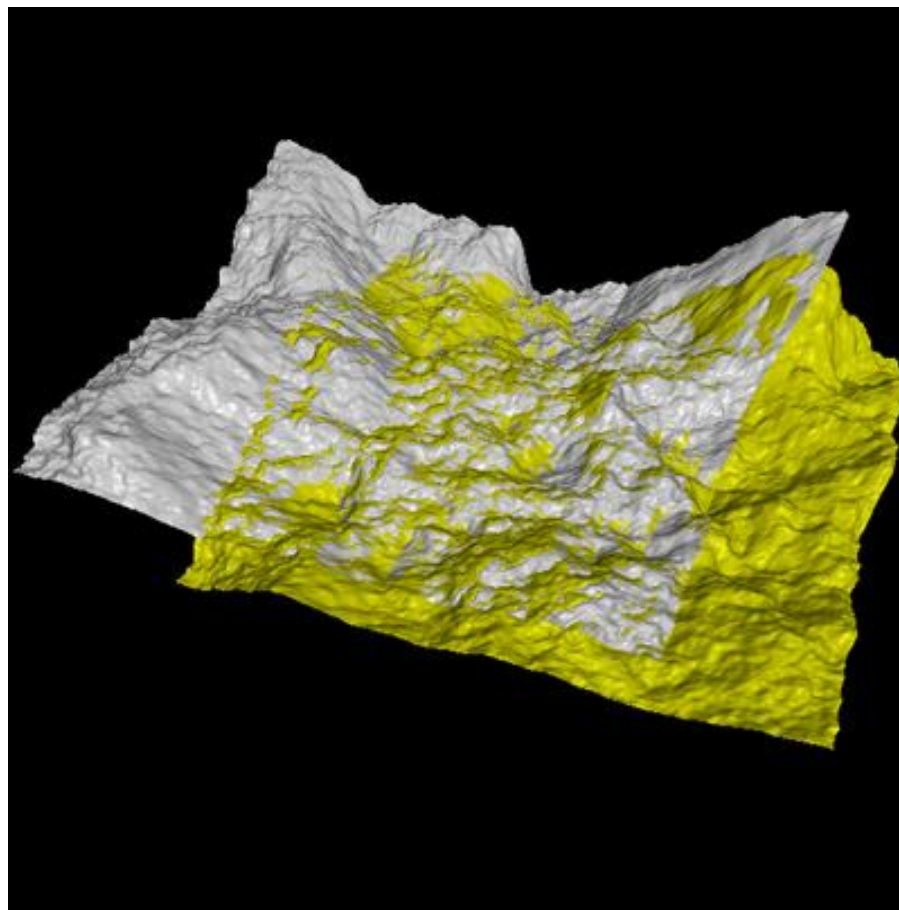
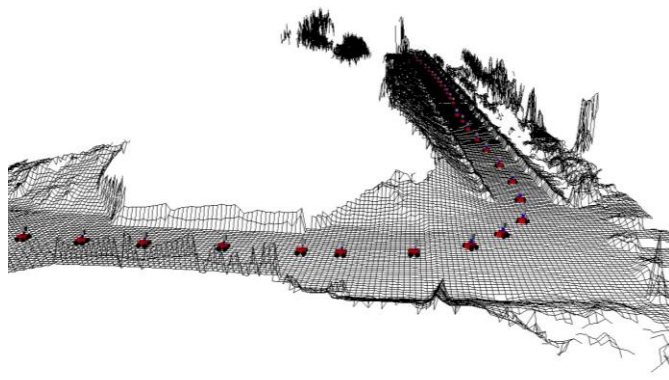
# Introduction to Mobile Robotics

## Iterative Closest Point Algorithm

Wolfram Burgard, Michael Ruhnke,  
Bastian Steder



# Motivation



Goal: Find local transformation to align points

# The Problem

- Given two corresponding point sets:

$$X = \{x_1, \dots, x_{N_x}\}$$

$$P = \{p_1, \dots, p_{N_p}\}$$

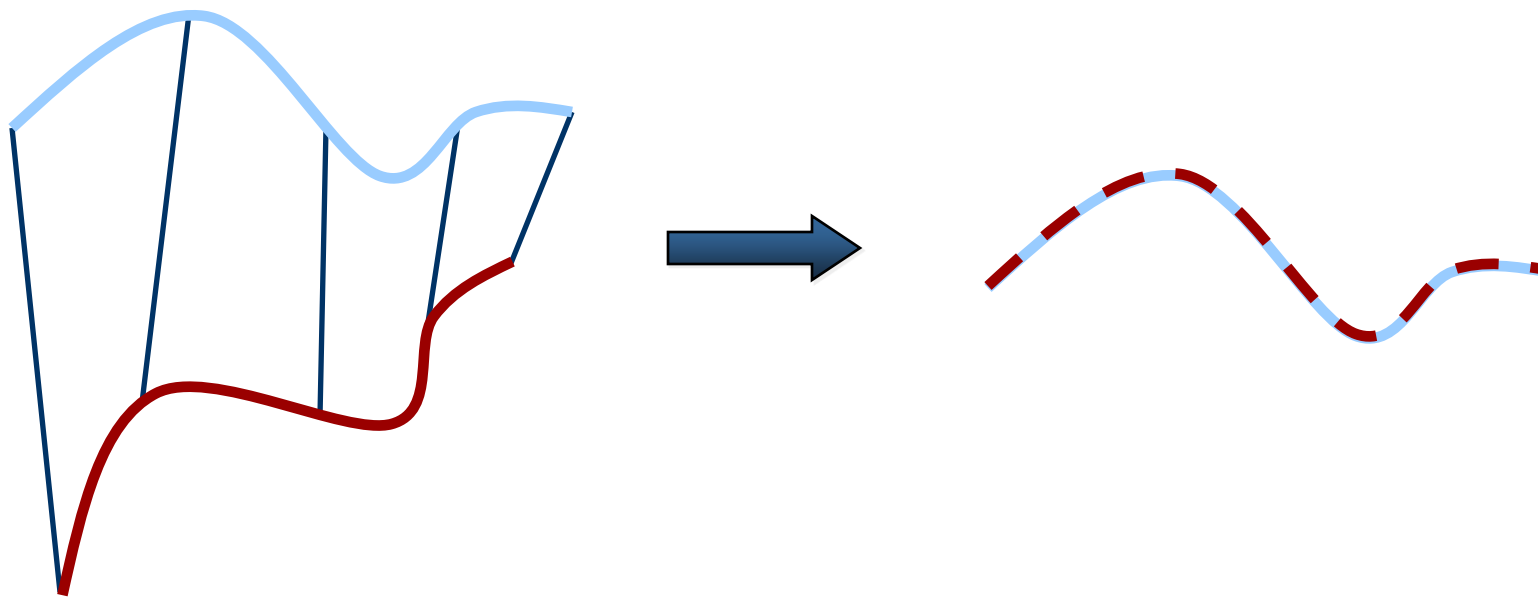
- Wanted: Translation  $t$  and rotation  $R$  that minimize the sum of the squared errors:

$$E(R, t) = \frac{1}{N_p} \sum_{i=1}^{N_p} \|x_i - Rp_i - t\|^2$$

Here,  $x_i$  and  $p_i$  are corresponding points

# Key Idea

- If the correct correspondences are known, the correct relative rotation/translation can be calculated in **closed form**



# Center of Mass

$$\mu_x = \frac{1}{N_x} \sum_{i=1}^{N_x} x_i \quad \text{and} \quad \mu_p = \frac{1}{N_p} \sum_{i=1}^{N_p} p_i$$

are the centers of mass of the two point sets

## Idea:

- Subtract the corresponding center of mass from every point in the two point sets before calculating the transformation
- The resulting point sets are:

$$X' = \{x_i - \mu_x\} = \{x'_i\} \quad \text{and} \\ P' = \{p_i - \mu_p\} = \{p'_i\}$$

# Singular Value Decomposition

$$\text{Let } W = \sum_{i=1}^{N_p} x_i' p_i'^T$$

denote the singular value decomposition (SVD) of  $W$  by:

$$W = U \begin{bmatrix} \sigma_1 & 0 & 0 \\ 0 & \sigma_2 & 0 \\ 0 & 0 & \sigma_3 \end{bmatrix} V^T$$

where  $U, V \in \mathbb{R}^{3 \times 3}$  are unitary, and

$\sigma_1 \geq \sigma_2 \geq \sigma_3$  are the singular values of  $W$

# SVD

## **Theorem** (without proof):

If  $\text{rank}(W) = 3$ , the optimal solution of  $E(R, t)$  is unique and is given by:

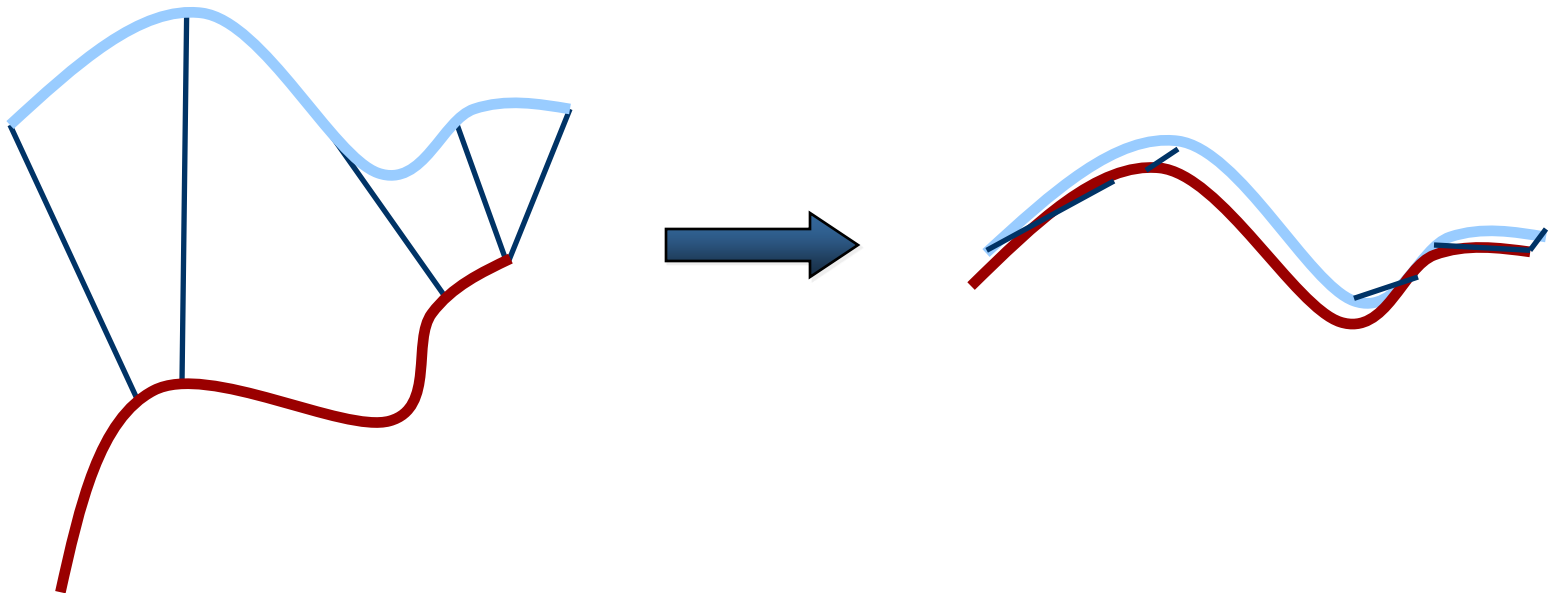
$$R = UV^T$$
$$t = \mu_x - R\mu_p$$

The minimal value of error function at  $(R, t)$  is:

$$E(R, t) = \sum_{i=1}^{N_p} (\|x'_i\|^2 + \|y'_i\|^2) - 2(\sigma_1 + \sigma_2 + \sigma_3)$$

# ICP with Unknown Data Association

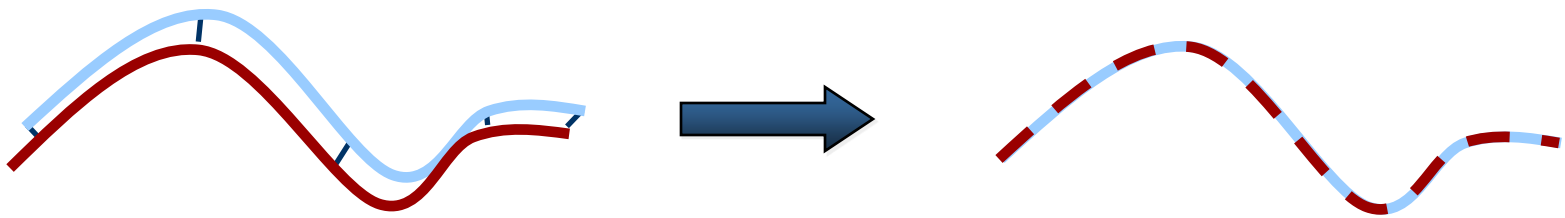
- If the correct correspondences are **not known**, it is generally impossible to determine the optimal relative rotation and translation in one step





# Iterative Closest Point (ICP) Algorithm

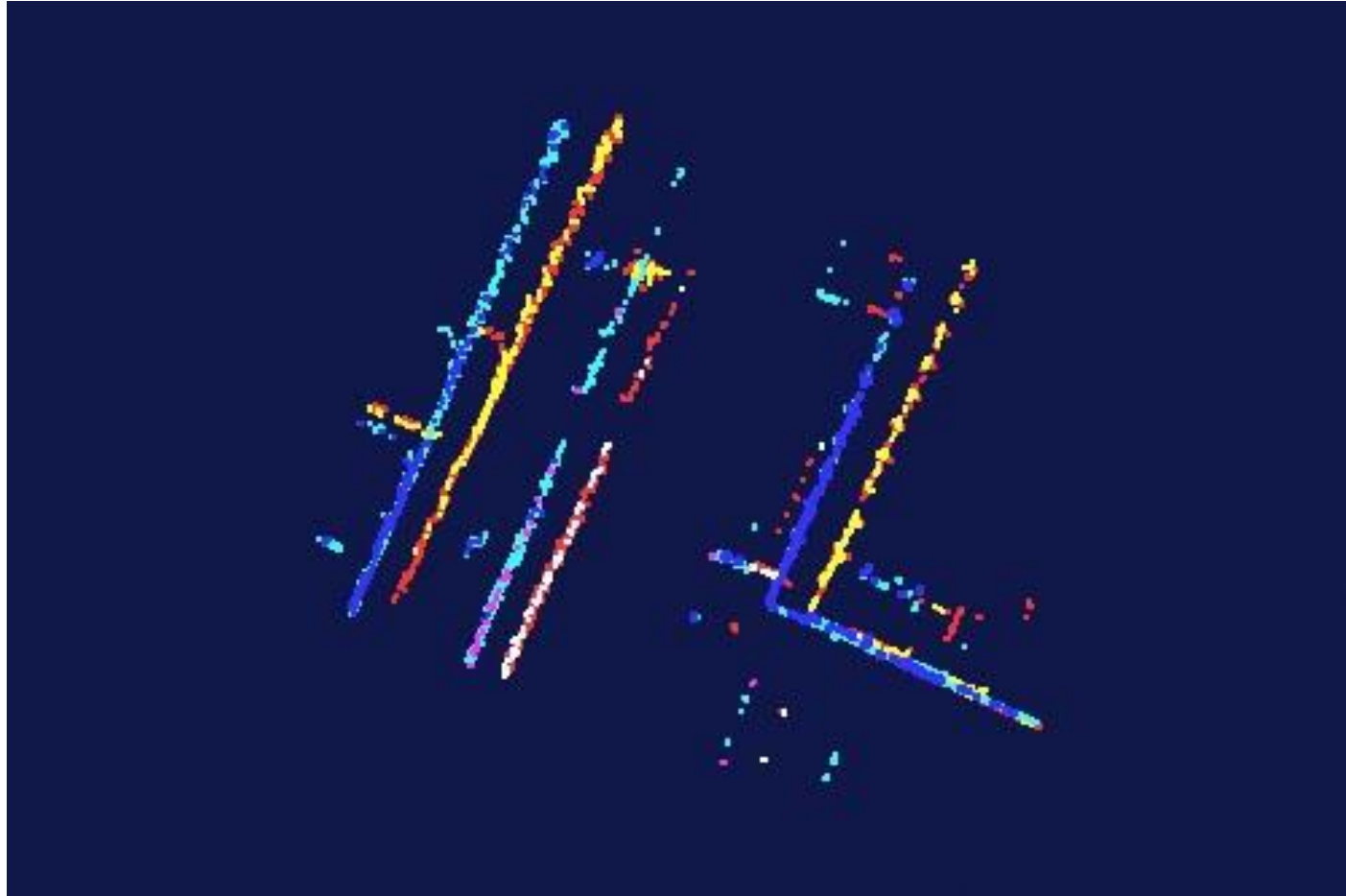
- Idea: Iterate to find alignment
- Iterative Closest Points  
[Besl & McKay 92]
- Converges if starting positions are “close enough”



# Basic ICP Algorithm

- Determine corresponding points
- Compute rotation  $R$ , translation  $t$  via SVD
- Apply  $R$  and  $t$  to the points of the set to be registered
- Compute the error  $E(R, t)$
- If error decreased and error  $>$  threshold
  - Repeat these steps
  - Stop and output final alignment, otherwise

# ICP Example



# ICP Variants

Variants on the following stages of ICP have been proposed:

1. Point subsets (from one or both point sets)
2. Weighting the correspondences
3. Data association
4. Rejecting certain (outlier) point pairs

# Performance of Variants

- Various aspects of performance:
  - Speed
  - Stability (local minima)
  - Tolerance wrt. noise and outliers
  - Basin of convergence  
(maximum initial misalignment)

# ICP Variants

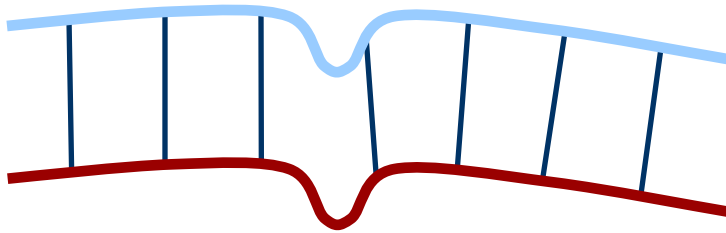


1. Point subsets (from one or both point sets)
2. Weighting the correspondences
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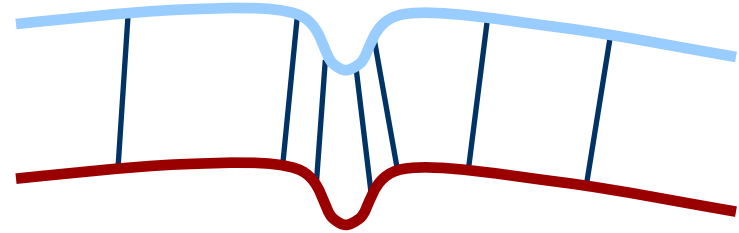
# Selecting Source Points

- Use all points
- Uniform sub-sampling
- Random sampling
- Feature based sampling
- Normal-space sampling  
(Ensure that samples have normals distributed as uniformly as possible)

# Normal-Space Sampling



uniform sampling

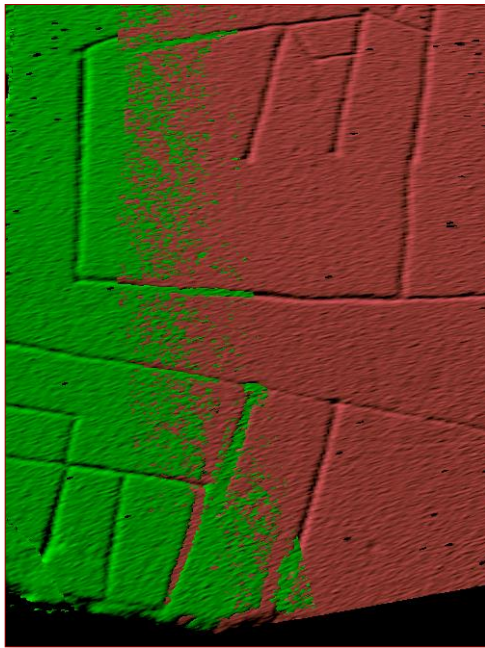


normal-space sampling

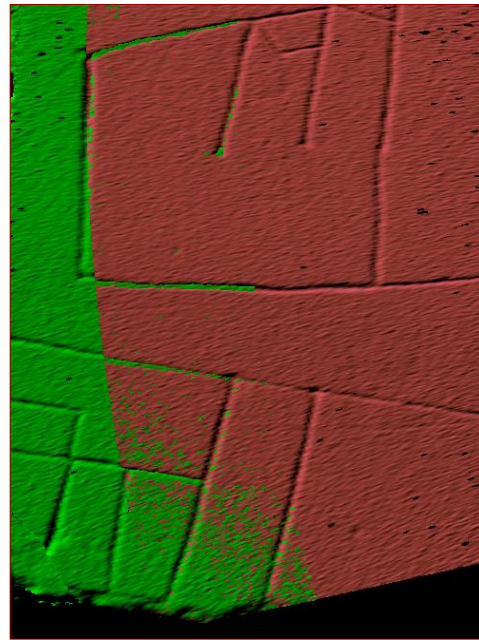


# Comparison

- Normal-space sampling better for mostly smooth areas with sparse features  
[Rusinkiewicz et al., 01]



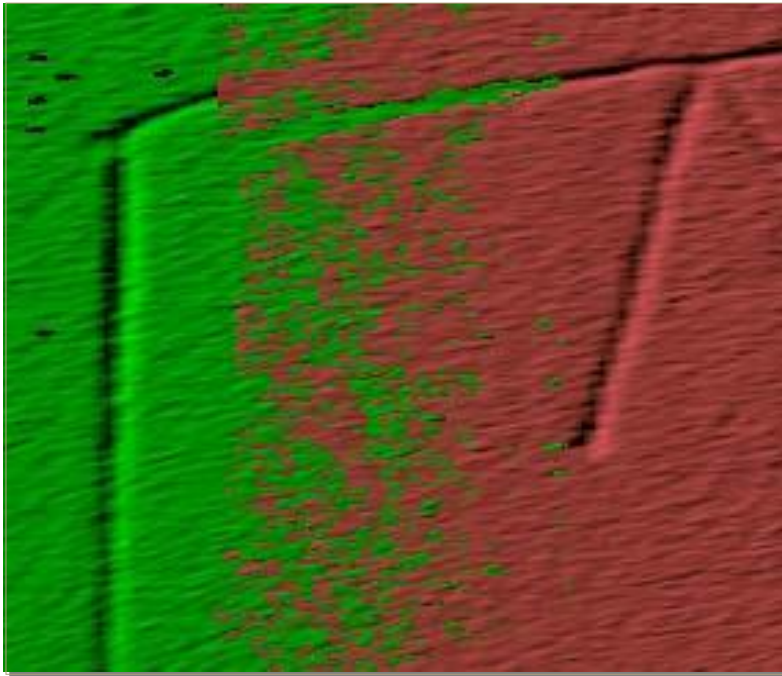
Random sampling



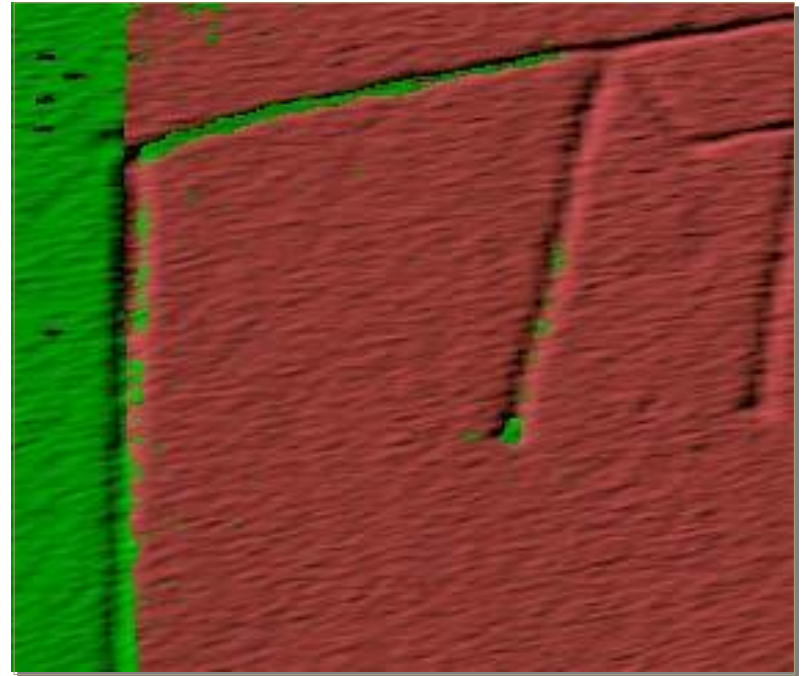
Normal-space sampling

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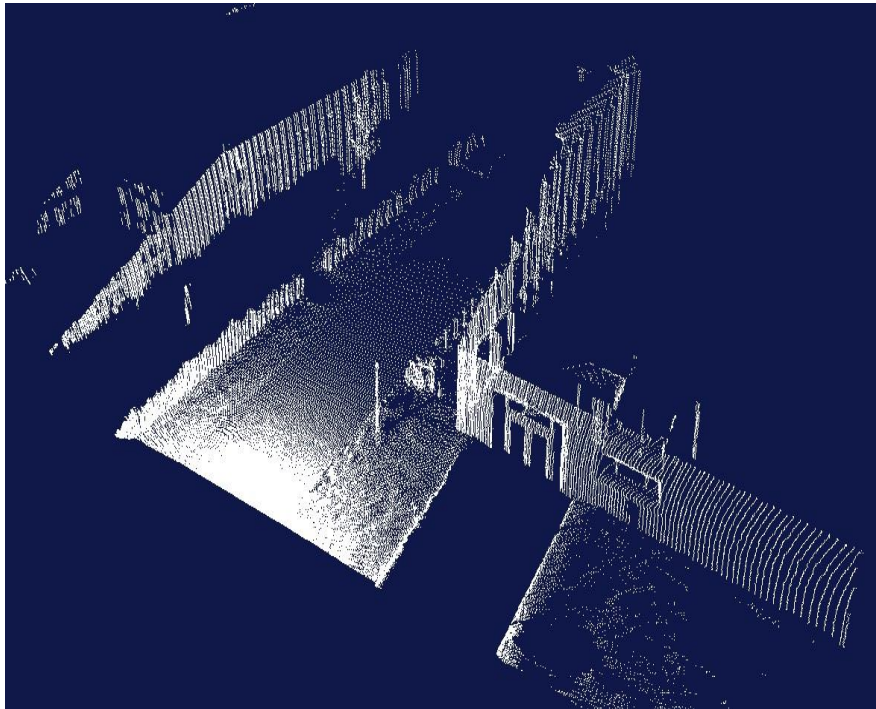
Random sampling



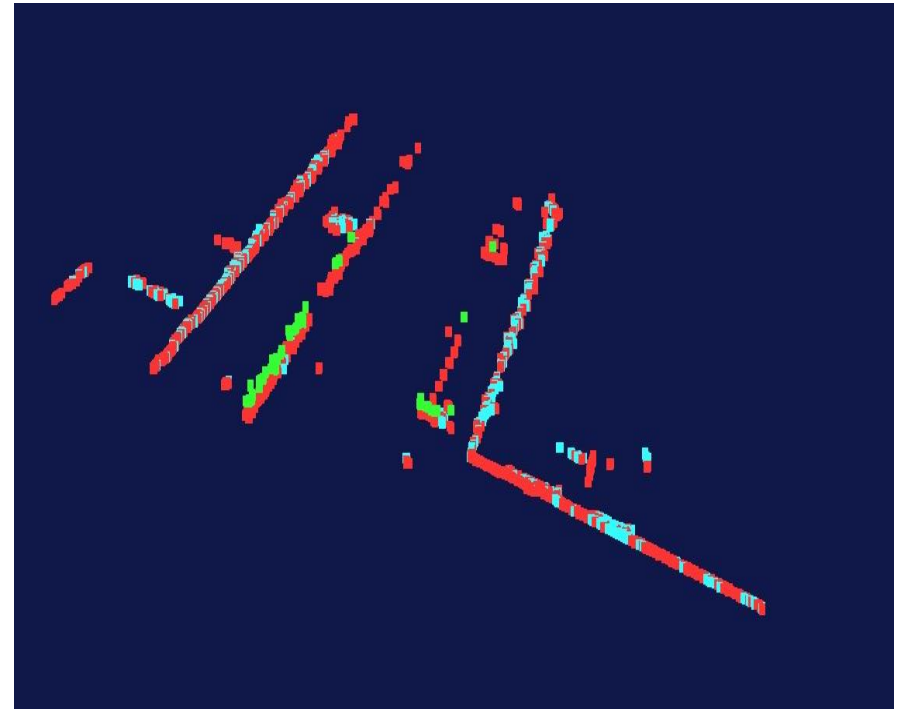
Normal-space sampling

# Feature-Based Sampling

- Try to find “important” points
- Decreases the number of correspondences to find
- Higher efficiency and higher accuracy
- Requires preprocessing

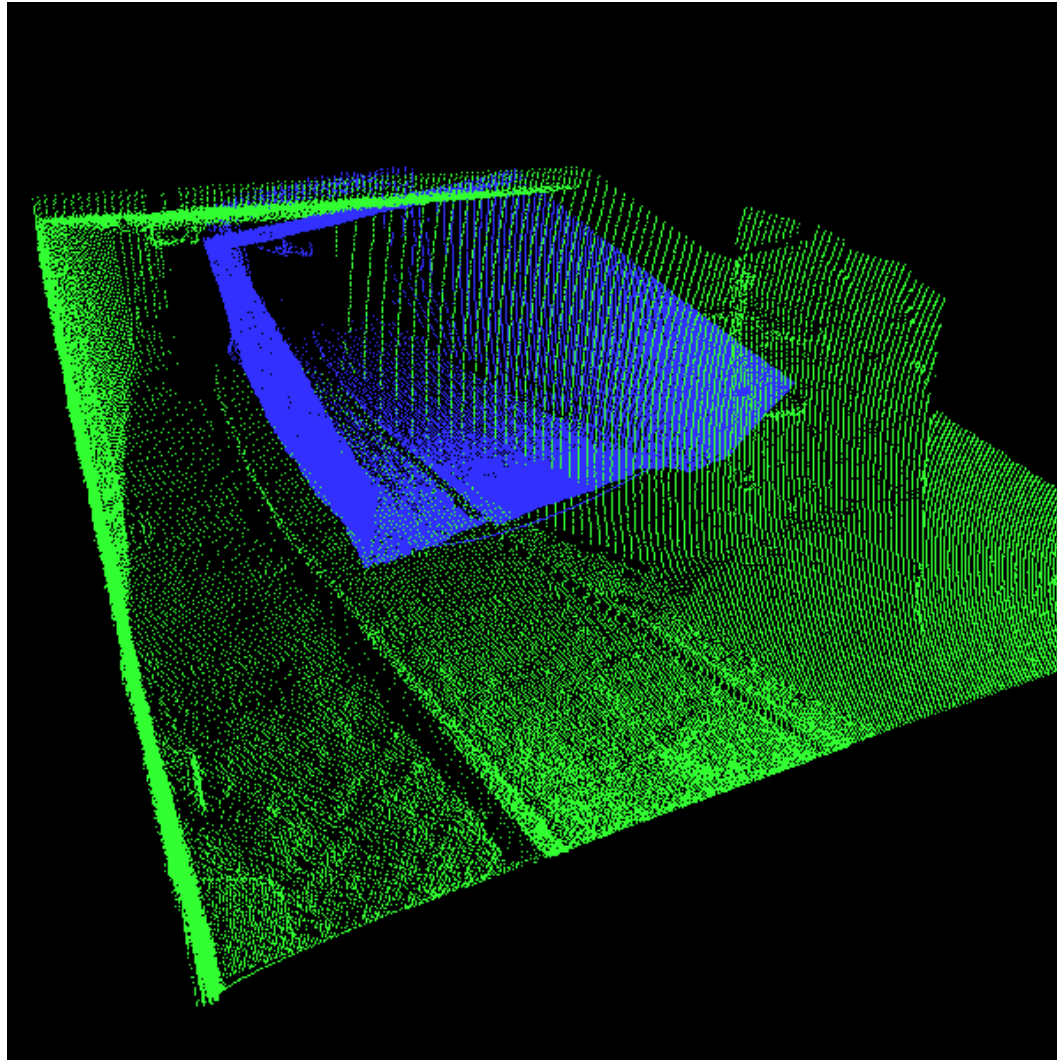


3D Scan (~200.000 Points)



Extracted Features (~5.000 Points)

# ICP Application (With Uniform Sampling)



[Nuechter et al., 04]

# ICP Variants

1. Point subsets (from one or both point sets)
- ➔ 2. **Weighting the correspondences**
3. Data association
4. Rejecting certain (outlier) point pairs

# Weighting

- Select a set of points for each set
- Match the selected points of the two sets
- **Weight the corresponding pairs**
- E.g., assign lower weights for points with higher point-point distances
- Determine transformation that minimizes the error function

# ICP Variants

1. Point subsets (from one or both point sets)
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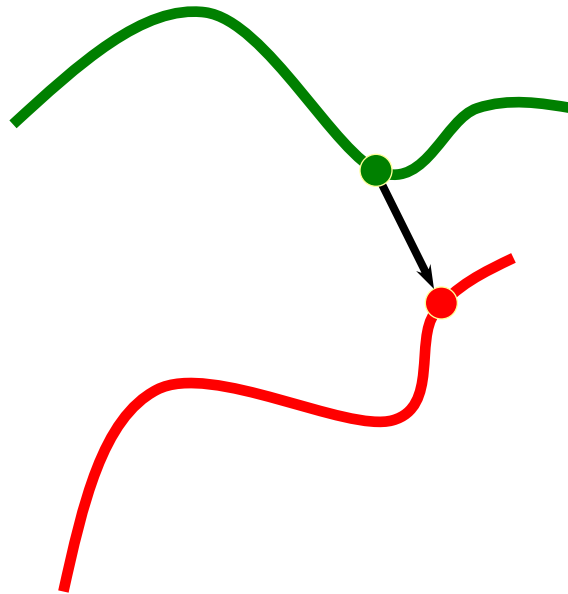
# Data Association

- Has greatest effect on convergence and speed
- Matching methods:
  - Closest point
  - Normal shooting
  - Closest compatible point
  - Projection-based



# Closest-Point Matching

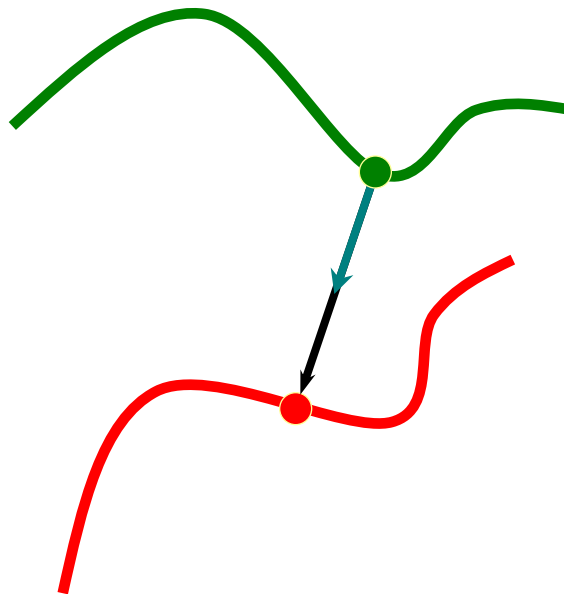
- Find closest point in other the point set (using kd-trees)



Generally stable, but slow convergence and requires preprocessing

# Normal Shooting

- Project along normal, intersect other point set



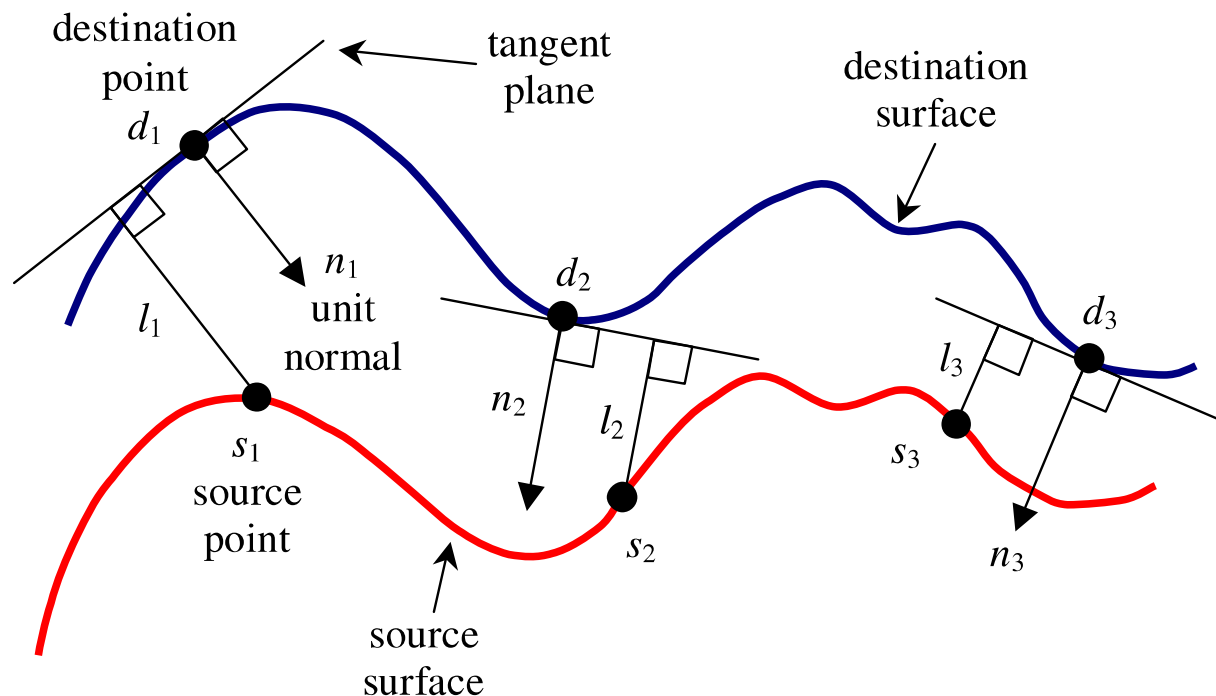
Slightly better convergence results than closest point for smooth structures, worse for noisy or complex structures

# Closest Compatible Point

- Improves the two previous variants by considering the **compatibility** of the points
- Only match compatible points
- Compatibility can be based on
  - Normals
  - Colors
  - Curvature
  - Higher-order derivatives
  - Other local features

# Point-to-Plane Error Metric

- Minimize the sum of the squared distances between a point and the tangent plane at its correspondence point [Chen & Medioni 91]

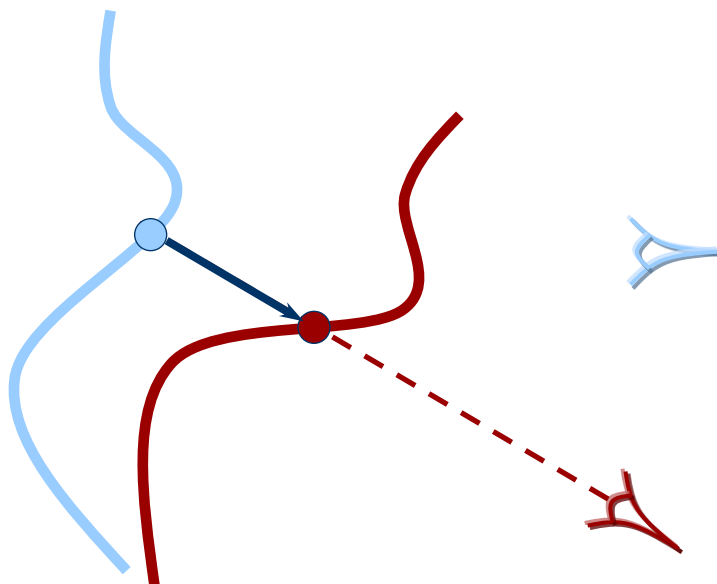


# Point-to-Plane Error Metric

- Solved using standard nonlinear least squares methods (e.g., Levenberg-Marquardt method [Press92]).
- Each iteration generally slower than the point-to-point version, however, often significantly better convergence rates [Rusinkiewicz01]
- Using point-to-plane distance instead of point-to-point lets flat regions slide along each other [Chen & Medioni 91]

# Projection

- Finding the closest point is the most expensive stage of the ICP algorithm
- Idea: Simplified nearest neighbor search
- For range images, one can project the points according to the view-point [Blais 95]



# Projection-Based Matching

- Constant time
- Does not require pre-computing a special data structure
- Requires point-to-plane error metric
- Slightly worse alignments per iteration

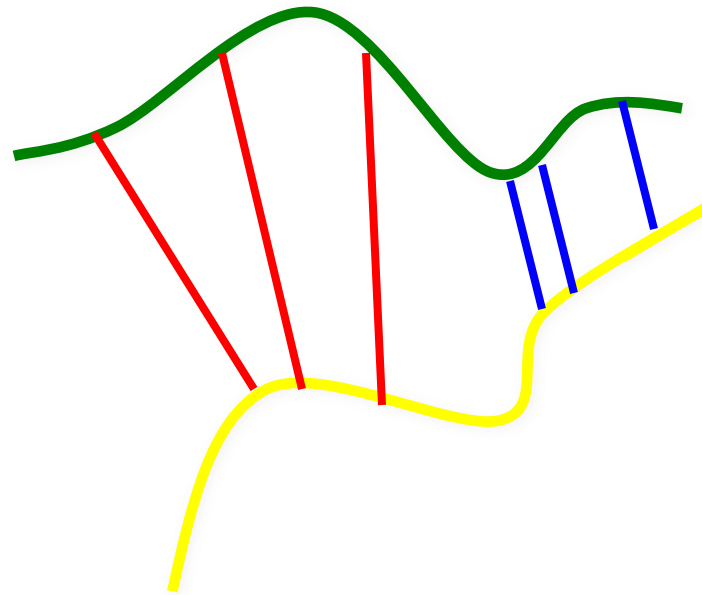
# ICP Variants

1. Point subsets (from one or both point sets)
2. Weighting the correspondences
3. Data association
- ➔ 4. Rejecting certain (outlier) point pairs



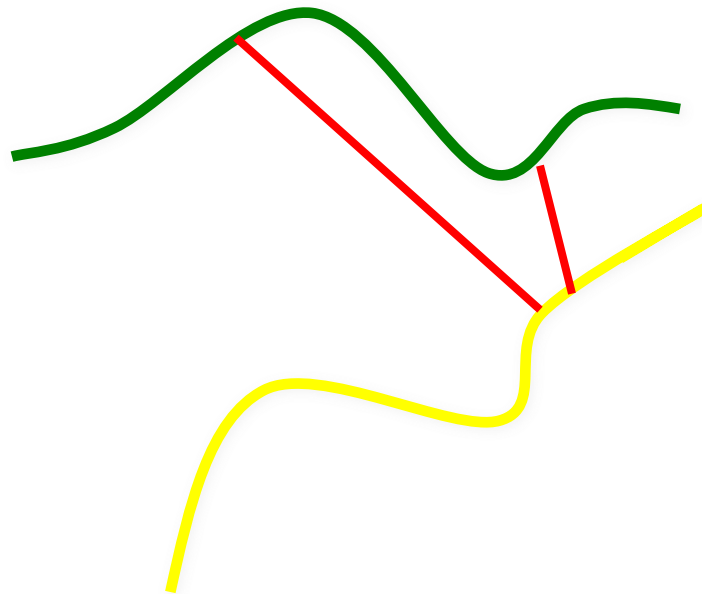
# Rejecting (Outlier) Point Pairs

- Corresponding points with point to point distance higher than a given threshold



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- Rejection of pairs that are not consistent with their neighboring pairs [Dorai 98]



# Rejecting (Outlier) Point Pairs

- Corresponding points with point to point distance higher than a given threshold
- Rejection of pairs that are not consistent with their neighboring pairs [Dorai 98]
- Sort all correspondences with respect to their error and delete the worst  $t\%$ ,  
Trimmed ICP (TrICP) [Chetverikov et al. 02]
  - $t$  is used to estimate the overlap
  - Problem: Knowledge about the overlap is necessary or has to be estimated

# Summary: ICP Algorithm

- Potentially sample Points
- Determine corresponding points
- Potentially weight / reject pairs
- Compute rotation  $R$ , translation  $t$  (e.g. SVD)
- Apply  $R$  and  $t$  to all points of the set to be registered
- Compute the error  $E(R, t)$
- If error decreased and error  $>$  threshold
  - Repeat to determine correspondences etc.
  - Stop and output final alignment, otherwise

# ICP Summary

- ICP is a powerful algorithm for calculating the displacement between scans
- The major problem is to determine the correct data associations
- Convergence speed depends on point matched points
- Given the correct data associations, the transformation can be computed efficiently using SVD
- ICP does not always converge