# Foundations of Artificial Intelligence <br> 9. Propositional Logic Rational Thinking, Logic, Resolution 

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\begin{gathered}
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\end{gathered}
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## Agents that Think Rationally

- Until now, the focus has been on agents that act rationally.
- Often, however, rational action requires rational (logical) thought on the agent's part.
- To that purpose, portions of the world must be represented in a knowledge base, or KB.
- A KB is composed of sentences in a language with a truth theory (logic), i.e., we (being external) can interpret sentences as statements about the world. (semantics)
- Through their form, the sentences themselves have a causal influence on the agent's behaviour in a way that is correlated with the contents of the sentences. (syntax)
- Interaction with the KB through Ask and Tell (simplified):
$\operatorname{Ask}(\mathrm{KB}, \alpha)=$ yes
$\operatorname{TELL}(\mathrm{KB}, \alpha)=\mathrm{KB}{ }^{\prime}$
$\operatorname{Forget}(\mathrm{KB}, \alpha)=\mathrm{KB}^{\prime}$
exactly when $\alpha$ follows from the KB so that $\alpha$ follows from KB' non-monotonic (will not be discussed)


## 3 Levels

In the context of knowledge representation, we can distinguish three levels [Newell 1990]:

Knowledge level: Most abstract level. Concerns the total knowledge contained in the KB. For example, the automated DB information system knows that a trip from Freiburg to Basel costs $18 €$.

Logical level: Encoding of knowledge in a formal language.
Price (Freiburg, Basel, 18.00)
Implementation level: The internal representation of the sentences, for example:

- As a string ''Price(Freiburg, Basel, 18.00)','
- As a value in a matrix

When Ask and Tell are working correctly, it is possible to remain on the knowledge level. Advantage: very comfortable user interface. The user has his/her own mental model of the world (statements about the world) and communicates it to the agent (TELL).

## A Knowledge-Based Agent

A knowledge-based agent uses its knowledge base to

- represent its background knowledge
- store its observations
- store its executed actions
- ... derive actions
function KB-AGENT (percept) returns an action
persistent: $K B$, a knowledge base
$t$, a counter, initially 0 , indicating time

```
Tell(KB,Make-Percept-Sentence( percept,t))
action }\leftarrow\operatorname{Ask}(KB\mathrm{ , MAKE-ACTION-QUERY( }t\mathrm{ ))
Tell(KB,MAKe-Action-Sentence(action,t))
t\leftarrowt+1
return action
```


## The Wumpus World (1)

- A $4 \times 4$ grid
- In the square containing the wumpus and in the directly adjacent squares, the agent perceives a stench.
- In the squares adjacent to a pit, the agent perceives a breeze.
- In the square where the gold is, the agent perceives a glitter.
- When the agent walks into a wall, it perceives a bump.
- When the wumpus is killed, its scream is heard everywhere.
- Percepts are represented as a 5-tuple, e.g.,
[Stench, Breeze, Glitter, None, None]
means that it stinks, there is a breeze and a glitter, but no bump and no scream. The agent cannot perceive its own location!


## The Wumpus World (2)

- Actions: Go forward, turn right by $90^{\circ}$, turn left by $90^{\circ}$, pick up an object in the same square (grab), shoot (there is only one arrow), leave the cave (only works in square $[1,1]$ ).
- The agent dies if it falls down a pit or meets a live wumpus.
- Initial situation: The agent is in square [1,1] facing east. Somewhere exists a wumpus, a pile of gold and 3 pits.
- Goal: Find the gold and leave the cave.


## The Wumpus World (3): A Sample Configuration



## The Wumpus World (4)

$[1,2]$ and $[2,1]$ are safe:

| 1,4 | 2,4 | 3,4 | 4,4 |
| :--- | :--- | :--- | :--- |
| 1,3 | 2,3 | 3,3 | 4,3 |
| OK | 2,2 | 3,2 | 4,2 |
| 1,2 | 2,1 | 3,1 | 4,1 |
| $\mathbf{A}$ | OK |  |  |
| $\mathbf{O K}$ |  |  |  |

(a)

$$
\begin{array}{ll}
\mathbf{A} & =\text { Agent } \\
\mathbf{B} & =\text { Breeze } \\
\mathbf{G} & =\text { Glitter, Gold } \\
\mathbf{O K} & =\text { Safe square } \\
\mathbf{P} & =\text { Pit } \\
\mathbf{S} & =\text { Stench } \\
\mathbf{V} & =\text { Visited } \\
\mathbf{W} & =\text { Wumpus }
\end{array}
$$

| 1,4 | 2,4 | 3,4 | 4,4 |
| :---: | :---: | :---: | :---: |
| 1,3 | 2,3 | 3,3 | 4,3 |
| 1,2 | ${ }^{2,2} \mathbf{P} \text { ? }$ | 3,2 | 4,2 |
| OK |  |  |  |
| 1,1 |  | ${ }^{3,1} \mathbf{P}$ ? | 4,1 |
| V | B |  |  |
| OK | OK |  |  |

(b)

## The Wumpus World (5)

The wumpus is in $[1,3]$ !


## Declarative Languages

Before a system that is capable of learning, thinking, planning, explaining, ... can be built, one must find a way to express knowledge.

We need a precise, declarative language.

- Declarative: System believes P iff it considers P to be true (one cannot believe P without an idea of what it means for the world to fulfill P ).
- Precise: We must know,
- which symbols represent sentences,
- what it means for a sentence to be true, and
- when a sentence follows from other sentences.

One possibility: Propositional Logic

## Basics of Propositional Logic (1)

Propositions: The building blocks of propositional logic are indivisible, atomic statements (atomic propositions), e.g.,

- "The block is red"
- "The wumpus is in $[1,3]$ "
and the logical connectives "and", "or", and "not", which we can use to build formulae.


## Basics of Propositional Logic (2)

We are interested in knowing the following:

- When is a proposition true?
- When does a proposition follow from a knowledge base (KB)?
- Symbolically: $\mathrm{KB} \models \varphi$
- Can we (syntactically) define the concept of derivation,
- Symbolically: KB $\vdash \varphi$ such that it is equivalent to the concept of logical implication?
$\rightarrow$ Meaning and implementation of ASK


## Syntax of Propositional Logic

Countable alphabet $\Sigma$ of atomic propositions: $P, Q, R, \ldots$
Logical formulae: $P \in \Sigma \quad$ atomic formula

$$
\begin{array}{ll}
\perp & \text { falseness } \\
\top & \text { truth } \\
\neg \varphi & \text { negation } \\
\varphi \wedge \psi & \text { conjunction } \\
\varphi \vee \psi & \text { disjunction } \\
\varphi \Rightarrow \psi & \text { implication } \\
\varphi \Leftrightarrow \psi & \text { equivalence }
\end{array}
$$

Operator precedence: $\neg>\wedge>\vee>\Rightarrow>\Leftrightarrow$. (use brackets when necessary)

Atom: atomic formula
Literal: (possibly negated) atomic formula
Clause: disjunction of literals

## Semantics: Intuition

Atomic propositions can be true $(T)$ or false $(F)$.
The truth of a formula follows from the truth of its atomic propositions (truth assignment or interpretation) and the connectives.

Example:

$$
(P \vee Q) \wedge R
$$

- If $P$ and $Q$ are false and $R$ is true, the formula is false
- If $P$ and $R$ are true, the formula is true regardless of what $Q$ is.


## Semantics: Formally

A truth assignment of the atoms in $\Sigma$, or an interpretation over $\Sigma$, is a function

$$
I: \Sigma \mapsto\{T, F\}
$$

Interpretation $I$ satisfies a formula $\varphi$ :

$$
\begin{array}{lll}
I \models \top & \\
I \not \models \perp & & \\
I \models P & \text { iff } & P^{I}=T \\
I \not \models \neg \varphi & \text { iff } & I \models \varphi \\
I \models \varphi \wedge \psi & \text { iff } & I \models \varphi \text { and } I \models \psi \\
I \models \varphi \vee \psi & \text { iff } & I \models \varphi \text { or } I \models \psi \\
I \models \varphi \Rightarrow \psi & \text { iff } & \text { if } I \models \varphi, \text { then } I \models \psi \\
I \models \varphi \Leftrightarrow \psi & \text { iff } & \text { if } I \models \varphi \text { if and only if } I \models \psi
\end{array}
$$

$I$ satisfies $\varphi(I \models \varphi)$ or $\varphi$ is true under $I$, when $I(\varphi)=T$.

## Example

$$
\begin{gathered}
I:\left\{\begin{array}{l}
P \mapsto T \\
Q \mapsto T \\
R \mapsto F \\
S \mapsto F \\
\cdots
\end{array}\right. \\
\varphi=((P \vee Q) \Leftrightarrow(R \vee S)) \wedge(\neg(P \wedge Q) \wedge(R \wedge \neg S))
\end{gathered}
$$

Question: $I \models \varphi$ ?

## Terminology

An interpretation $I$ is called a model of $\varphi$ if $I \models \varphi$.
An interpretation is a model of a set of formulae if it fulfils all formulae of the set.

A formula $\varphi$ is

- satisfiable if there exists $I$ that satisfies $\varphi$,
- unsatisfiable if $\varphi$ is not satisfiable,
- falsifiable if there exists $I$ that doesn't satisfy $\varphi$, and
- valid (a tautology) if $I \models \varphi$ holds for all $I$.

Two formulae are

- logically equivalent $(\varphi \equiv \psi)$ if $I \models \varphi$ iff $I \models \psi$ holds for all $I$.


## The Truth Table Method

How can we decide if a formula is satisfiable, valid, etc.?
$\rightarrow$ Generate a truth table
Example: Is $\varphi=((P \vee H) \wedge \neg H) \Rightarrow P$ valid?

| $P$ | $H$ | $P \vee H$ | $(P \vee H) \wedge \neg H$ | $(P \vee H) \wedge \neg H \Rightarrow P$ |
| :---: | :---: | :---: | :---: | :---: |
| $F$ | $F$ | $F$ | $F$ | $T$ |
| $F$ | $T$ | $T$ | $F$ | $T$ |
| $T$ | $F$ | $T$ | $T$ | $T$ |
| $T$ | $T$ | $T$ | $F$ | $T$ |

Since the formula is true for all possible combinations of truth values (satisfied under all interpretations), $\varphi$ is valid.

Satisfiability, falsifiability, unsatisfiability likewise.

## Normal Forms

- A formula is in conjunctive normal form (CNF) if it consists of a conjunction of disjunctions of literals $l_{i, j}$, i.e., if it has the following form:

$$
\bigwedge_{i=1}^{n}\left(\bigvee_{j=1}^{m_{i}} l_{i, j}\right)
$$

- A formula is in disjunctive normal form (DNF) if it consists of a disjunction of conjunctions of literals:

$$
\bigvee_{i=1}^{n}\left(\bigwedge_{j=1}^{m_{i}} l_{i, j}\right)
$$

- For every formula, there exists at least one equivalent formula in CNF and one in DNF.
- A formula in DNF is satisfiable iff one disjunct is satisfiable.
- A formula in CNF is valid iff every conjunct is valid.


## Producing CNF

1. Eliminate $\Rightarrow$ and $\Leftrightarrow: \alpha \Rightarrow \beta \rightarrow(\neg \alpha \vee \beta)$ etc.
2. Move $\neg$ inwards: $\neg(\alpha \wedge \beta) \rightarrow(\neg \alpha \vee \neg \beta)$ etc.
3. Distribute $\vee$ over $\wedge:((\alpha \wedge \beta) \vee \gamma) \rightarrow(\alpha \vee \gamma) \wedge(\beta \vee \gamma)$
4. Simplify: $\alpha \vee \alpha \rightarrow \alpha$ etc.

The result is a conjunction of disjunctions of literals
An analogous process converts any formula to an equivalent formula in DNF.

- During conversion, formulae can expand exponentially.
- Note: Conversion to CNF formula can be done polynomially if only satisfiability should be preserved


## Logical Implication: Intuition

A set of formulae (a KB ) usually provides an incomplete description of the world, i.e., leaves the truth values of a proposition open.

Example: $\mathrm{KB}=\{P \vee Q, R \vee \neg P, S\}$
is definitive with respect to $S$, but leaves $P, Q, R$ open (although they cannot take on arbitrary values).

Models of the KB:

| $P$ | $Q$ | $R$ | $S$ |
| :---: | :---: | :---: | :---: |
| $F$ | $T$ | $F$ | $T$ |
| $F$ | $T$ | $T$ | $T$ |
| $T$ | $F$ | $T$ | $T$ |
| $T$ | $T$ | $T$ | $T$ |

In all models of the $\mathrm{KB}, Q \vee R$ is true, i.e., $Q \vee R$ follows logically from KB .

## Logical Implication: Formal

The formula $\varphi$ follows logically from the KB if $\varphi$ is true in all models of the KB (symbolically $\mathrm{KB} \models \varphi$ ):

$$
\mathrm{KB} \models \varphi \text { iff } I \models \varphi \text { for all models } I \text { of KB }
$$

Note: The $\models$ symbol is a meta-symbol
Some properties of logical implication relationships:

- Deduction theorem: $\mathrm{KB} \cup\{\varphi\} \models \psi$ iff $\mathrm{KB} \models \varphi \Rightarrow \psi$
- Contraposition theorem: $\mathrm{KB} \cup\{\varphi\} \models \neg \psi$ iff $\mathrm{KB} \cup\{\psi\} \models \neg \varphi$
- Contradiction theorem: $\mathrm{KB} \cup\{\varphi\}$ is unsatisfiable iff $\mathrm{KB} \models \neg \varphi$

Question: Can we determine $\mathrm{KB} \models \varphi$ without considering all interpretations (the truth table method)?

## Proof of the Deduction Theorem

" $\Rightarrow$ " Assumption: $\mathrm{KB} \cup\{\varphi\} \models \psi$, i.e., every model of $\mathrm{KB} \cup\{\varphi\}$ is also a model of $\psi$.

Let $I$ be any model of KB . If $I$ is also a model of $\varphi$, then it follows that $I$ is also a model of $\psi$.

This means that $I$ is also a model of $\varphi \Rightarrow \psi$, i.e., $\mathrm{KB} \models$ $\varphi \Rightarrow \psi$.
" $\Leftarrow$ " Assumption: $\mathrm{KB} \models \varphi \Rightarrow \psi$. Let $I$ be any model of KB that is also a model of $\varphi$, i.e., $I \models \mathrm{~KB} \cup\{\varphi\}$.

From the assumption, $I$ is also a model of $\varphi \Rightarrow \psi$ and thereby also of $\psi$, i.e., $\mathrm{KB} \cup\{\varphi\} \models \psi$.

## Proof of the Contraposition Theorem

$$
\begin{align*}
& \mathrm{KB} \cup\{\varphi\} \models \neg \psi \\
& \text { iff } \mathrm{KB} \models \varphi \Rightarrow \neg \psi  \tag{1}\\
& \text { iff } \mathrm{KB} \models(\neg \varphi \vee \neg \psi) \\
& \text { iff } \mathrm{KB} \models(\neg \psi \vee \neg \varphi) \\
& \text { iff } \mathrm{KB} \models \psi \Rightarrow \neg \varphi \\
& \text { iff } \mathrm{KB} \cup\{\psi\} \models \neg \varphi \tag{2}
\end{align*}
$$

Note:
(1) and (2) are applications of the deduction theorem.

## Inference Rules, Calculi, and Proofs

We can often derive new formulae from formulae in the $K B$. These new formulae should follow logically from the syntactical structure of the KB formulae.

Example: If the KB is $\{\ldots,(\varphi \Rightarrow \psi), \ldots, \psi, \ldots\}$ then $\psi$ is a logical consequence of KB
$\rightarrow$ Inference rules, e.g., $\frac{\varphi, \varphi \Rightarrow \psi}{\psi}$
Calculus: Set of inference rules (potentially including so-called logical axioms)

Proof step: Application of an inference rule on a set of formulae.
Proof: Sequence of proof steps where every newly-derived formula is added, and in the last step, the goal formula is produced.

## Soundness and Completeness

In the case where in the calculus $C$ there is a proof for a formula $\varphi$, we write

$$
\mathrm{KB} \vdash_{C} \varphi
$$

(optionally without subscript $C$ ).
A calculus $C$ is sound (or correct) if all formulae that are derivable from a KB actually follow logically.

$$
\mathrm{KB} \vdash_{C} \varphi \text { implies } \mathrm{KB} \models \varphi
$$

This normally follows from the soundness of the inference rules and the logical axioms.

A calculus is complete if every formula that follows logically from the KB is also derivable with $C$ from the KB:

$$
\mathrm{KB} \models \varphi \text { implies } \mathrm{KB} \vdash_{C} \varphi
$$

## Resolution: Idea

We want a way to derive new formulae that does not depend on testing every interpretation.

Idea: We attempt to show that a set of formulae is unsatisfiable.
Condition: All formulae must be in CNF.
But: In most cases, the formulae are close to CNF (and there exists a fast satisfiability-preserving transformation - Theoretical Computer Science course).

Nevertheless: In the worst case, this derivation process requires an exponential amount of time (this is, however, probably unavoidable).

## Resolution: Representation

Assumption: All formulae in the KB are in CNF.
Equivalently, we can assume that the KB is a set of clauses.
Due to commutativity, associativity, and idempotence of $\vee$, clauses can also be understood as sets of literals. The empty set of literals is denoted by $\square$.

Set of clauses: $\Delta$
Set of literals: $C, D$
Literal: $l$
Negation of a literal: $\bar{l}$
An interpretation $I$ satisfies $C$ iff there exists $l \in C$ such that $I \models l$. $I$ satisfies $\Delta$ if for all $C \in \Delta: I \models C$, i.e., $I \not \models \square, I \not \models\{\square\}, I \models\{ \}$, for all $I$.

## The Resolution Rule

$$
\frac{C_{1} \dot{\cup}\{l\}, C_{2} \dot{\cup}\{\bar{l}\}}{C_{1} \cup C_{2}}
$$

$C_{1} \cup C_{2}$ are called resolvents of the parent clauses $C_{1} \dot{\cup}\{l\}$ and $C_{2} \dot{\cup}\{\bar{l}\} . l$ and $\bar{l}$ are the resolution literals.

Example: $\{a, b, \neg c\}$ resolves with $\{a, d, c\}$ to $\{a, b, d\}$.
Note: The resolvent is not equivalent to the parent clauses, but it follows from them!

Notation: $R(\Delta)=\Delta \cup\{C \mid C$ is a resolvent of two clauses from $\Delta\}$

## Derivations

We say $D$ can be derived from $\Delta$ using resolution, i.e.,

$$
\Delta \vdash D,
$$

if there exist $C_{1}, C_{2}, C_{3}, \ldots, C_{n}=D$ such that

$$
C_{i} \in R\left(\Delta \cup\left\{C_{1}, \ldots, C_{i-1}\right\}\right), \text { for } 1 \leq i \leq n
$$

Lemma (soundness) If $\Delta \vdash D$, then $\Delta \models D$.
Proof idea: Since all $D \in R(\Delta)$ follow logically from $\Delta$, the lemma results through induction over the length of the derivation.

## Completeness?

Is resolution also complete? I.e., is

$$
\Delta \models \varphi \text { implies } \Delta \vdash \varphi
$$

valid? Only for clauses. Consider:

$$
\{\{a, b\},\{\neg b, c\}\} \vDash\{a, b, c\} \nvdash\{a, b, c\}
$$

But it can be shown that resolution is refutation-complete: $\Delta$ is unsatisfiable implies $\Delta \vdash \square$

Theorem: $\Delta$ is unsatisfiable iff $\Delta \vdash \square$
With the help of the contradiction theorem, we can show that $\mathrm{KB} \models \varphi$.

## Resolution: Overview

- Resolution is a refutation-complete proof process. There are others (Davis-Putnam Procedure, Tableaux Procedure, ... ).
- In order to implement the process, a strategy must be developed to determine which resolution steps will be executed and when.
- In the worst case, a resolution proof can take exponential time. This, however, very probably holds for all other proof procedures.
- For CNF formulae in propositional logic, the Davis-Putnam Procedure (backtracking over all truth values) is probably (in practice) the fastest complete process that can also be taken as a type of resolution process.


## Where is the Wumpus? The Situation



## Where is the Wumpus? Knowledge of the Situation

$B=$ Breeze, $S=$ Stench, $B_{i, j}=$ there is a breeze in $(i, j)$
$\neg S_{1,1} \quad \neg B_{1,1}$
$\neg S_{2,1} \quad B_{2,1}$
$S_{1,2} \quad \neg B_{1,2}$
Knowledge about the wumpus and smell:
$R_{1}: \neg S_{1,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{1,2} \wedge \neg W_{2,1}$
$R_{2}: \neg S_{2,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{2,1} \wedge \neg W_{2,2} \wedge \neg W_{3,1}$
$R_{3}: \neg S_{1,2} \Rightarrow \neg W_{1,1} \wedge \neg W_{1,2} \wedge \neg W_{2,2} \wedge \neg W_{1,3}$
$R_{4}: S_{1,2} \Rightarrow W_{1,3} \vee W_{1,2} \vee W_{2,2} \vee W_{1,1}$
To show: $\mathrm{KB} \models W_{1,3}$

## Clausal Representation of the Wumpus World

Situational knowledge:
$\neg S_{1,1}, \neg S_{2,1}, \neg S_{1,2}$
Knowledge of rules:
Knowledge about the wumpus and smell:
$R_{1}: S_{1,1} \vee \neg W_{1,1}, S_{1,1} \vee \neg W_{1,2}, S_{1,1} \vee \neg W_{2,1}$
$R_{2}: \ldots, S_{2,1} \vee \neg W_{2,2}, \ldots$
$R_{3}: \ldots$
$R_{4}: \neg S_{1,2} \vee W_{1,3} \vee W_{1,2} \vee W_{2,2} \vee W_{1,1}$

Negated goal formula: $\neg W_{1,3}$

## Resolution Proof for the Wumpus World

## Resolution:

$$
\begin{aligned}
& \neg W_{1,3}, \neg S_{1,2} \vee W_{1,3} \vee W_{1,2} \vee W_{2,2} \vee W_{1,1} \\
& \rightarrow \neg S_{1,2} \vee W_{1,2} \vee W_{2,2} \vee W_{1,1} \\
& S_{1,2}, \neg S_{1,2} \vee W_{1,2} \vee W_{2,2} \vee W_{1,1} \\
& \rightarrow W_{1,2} \vee W_{2,2} \vee W_{1,1} \\
& \neg S_{1,1}, S_{1,1} \vee \neg W_{1,1} \\
& \rightarrow \neg W_{1,1} \\
& \neg W_{1,1}, W_{1,2} \vee W_{2,2} \vee W_{1,1} \\
& \rightarrow W_{1,2} \vee W_{2,2}
\end{aligned}
$$

$$
\neg W_{2,2}, W_{2,2}
$$

$$
\rightarrow \square
$$

## From Knowledge to Action

We can now infer new facts, but how do we translate knowledge into action?

Negative selection: Excludes any provably dangerous actions.

$$
A_{1,1} \wedge \text { East }_{A} \wedge W_{2,1} \Rightarrow \neg \text { Forward }
$$

Positive selection: Only suggests actions that are provably safe.

$$
A_{1,1} \wedge \text { East }_{A} \wedge \neg W_{2,1} \Rightarrow \text { Forward }
$$

## Differences?

From the suggestions, we must still select an action.

## Problems with Propositional Logic

Although propositional logic suffices to represent the wumpus world, it is rather involved.

Rules must be set up for each square.
$R_{1}: \neg S_{1,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{1,2} \wedge \neg W_{2,1}$
$R_{2}: \neg S_{2,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{2,1} \wedge \neg W_{2,2} \wedge \neg W_{3,1}$
$R_{3}: \neg S_{1,2} \Rightarrow \neg W_{1,1} \wedge \neg W_{1,2} \wedge \neg W_{2,2} \wedge \neg W_{1,3}$

We need a time index for each proposition to represent the validity of the proposition over time $\rightarrow$ further expansion of the rules.
$\rightarrow$ More powerful logics exist, in which we can use object variables.
$\rightarrow$ First-Order Predicate Logic

## Summary

- Rational agents require knowledge of their world in order to make rational decisions.
- With the help of a declarative (knowledge-representation) language, this knowledge is represented and stored in a knowledge base.
- We use propositional logic for this (for the time being).
- Formulae of propositional logic can be valid, satisfiable, or unsatisfiable.
- The concept of logical implication is important.
- Logical implication can be mechanized by using an inference calculus $\rightarrow$ resolution.
- Propositional logic quickly becomes impractical when the world becomes too large (or infinite).

